

Strong ergodicity phenomena for Bernoulli shifts of bounded algebraic dimension

Aristotelis Panagiotopoulos

joint with Assaf Shani

Carnegie Mellon University

April 12, 2022

Dynamics and orbit equivalence relations

Given a continuous action $G \curvearrowright X$ of a Polish group G on Polish space X we let E_X^G be the associated **orbit equivalence relation**:

$$xE_X^Gx' \iff \exists g \in G (g \cdot x = x').$$

Question. Which topological/dynamical properties of G can be recovered from its orbit equivalence relations?

Dynamics and orbit equivalence relations

Given a continuous action $G \curvearrowright X$ of a Polish group G on Polish space X we let E_X^G be the associated **orbit equivalence relation**:

$$xE_X^G x' \iff \exists g \in G (g \cdot x = x').$$

Question. Which topological/dynamical properties of G can be recovered from its orbit equivalence relations?

Ways to measure the complexity of an orbit equivalence relation (X, E_X^G) :

(1) Its position within the Borel reduction hierarchy.

We say that (X, E) is **Borel reducible** to (Y, F) and we write $E \leq_B F$ if there is a Borel map $f: X \rightarrow Y$ with $xE x' \iff f(x)F f(x')$.

Dynamics and orbit equivalence relations

Given a continuous action $G \curvearrowright X$ of a Polish group G on Polish space X we let E_X^G be the associated **orbit equivalence relation**:

$$xE_X^G x' \iff \exists g \in G (g \cdot x = x').$$

Question. Which topological/dynamical properties of G can be recovered from its orbit equivalence relations?

Ways to measure the complexity of an orbit equivalence relation (X, E_X^G) :

(1) Its position within the Borel reduction hierarchy.

We say that (X, E) is **Borel reducible** to (Y, F) and we write $E \leq_B F$ if there is a Borel map $f: X \rightarrow Y$ with $xE x' \iff f(x)F f(x')$.

(2) Its strong ergodic properties.

We say that (X, E) is **strongly ergodic** with respect to (Y, F) if for every Borel $f: X \rightarrow Y$ with $xE x' \implies f(x)F f(x')$ there is a comeager $C \subseteq X$ so that for all $x, x' \in C$ we have that $f(x)F f(x')$.

Dynamics and orbit equivalence relations

Theorem (Solecki)

Let G be a Polish group. Then the following are equivalent:

- ① G is **compact**;
- ② For all $G \curvearrowright X$ we have that E_X^G is **smooth**, i.e., $(X, E_X^G) \leq_B (\mathbb{R}, =)$.

Dynamics and orbit equivalence relations

Theorem (Solecki)

Let G be a Polish group. Then the following are equivalent:

- ① G is **compact**;
- ② *For all $G \curvearrowright X$ we have that E_X^G is **smooth**, i.e., $(X, E_X^G) \leq_B (\mathbb{R}, =)$.*

Theorem (Thompson)

Let G be a Polish group. Then the following are equivalent:

- ① G is **CLI**;
- ② *For all $G \curvearrowright X$ we have that E_X^G is **classifiable by CLI-actions**, i.e., $(X, E_X^G) \leq_B (Y, E_Y^H)$ where $H \curvearrowright Y$ is an action of a CLI group H .*

Dynamics and orbit equivalence relations

Theorem (Solecki)

Let G be a Polish group. Then the following are equivalent:

- ① G is **compact**;
- ② For all $G \curvearrowright X$ we have that E_X^G is **smooth**, i.e., $(X, E_X^G) \leq_B (\mathbb{R}, =)$.

Theorem (Thompson)

Let G be a Polish group. Then the following are equivalent:

- ① G is **CLI**;
- ② For all $G \curvearrowright X$ we have that E_X^G is **classifiable by CLI-actions**, i.e., $(X, E_X^G) \leq_B (Y, E_Y^H)$ where $H \curvearrowright Y$ is an action of a CLI group H .

Question (Kechris)

Let G be a Polish group which is **not locally-compact**. Does there exist some action $G \curvearrowright X$ so that (X, E_X^G) is not **essentially countable**?

Polish permutation groups

Let $\text{Sym}(\mathbb{N})$ be the Polish group of all bijections $g: \mathbb{N} \rightarrow \mathbb{N}$ endowed with the pointwise convergence topology.

A **Polish permutation group** P is any closed subgroup of $\text{Sym}(\mathbb{N})$.
Such P comes together with an action $P \curvearrowright \mathbb{N}$ with $(g, n) \mapsto g(n)$.

Polish permutation groups

Let $\text{Sym}(\mathbb{N})$ be the Polish group of all bijections $g: \mathbb{N} \rightarrow \mathbb{N}$ endowed with the pointwise convergence topology.

A **Polish permutation group** P is any closed subgroup of $\text{Sym}(\mathbb{N})$. Such P comes together with an action $P \curvearrowright \mathbb{N}$ with $(g, n) \mapsto g(n)$.

The **Bernoulli shift** of P is the induced action on $\mathbb{R}^{\mathbb{N}}$:

$$g \cdot (x_n : n \in \mathbb{N}) = (x_{g^{-1}(n)} : n \in \mathbb{N}).$$

Notation. We denote by $E(P)$ the orbit equivalence relation of $P \curvearrowright \mathbb{R}^{\mathbb{N}}$.

Polish permutation groups

Let $\text{Sym}(\mathbb{N})$ be the Polish group of all bijections $g: \mathbb{N} \rightarrow \mathbb{N}$ endowed with the pointwise convergence topology.

A **Polish permutation group** P is any closed subgroup of $\text{Sym}(\mathbb{N})$. Such P comes together with an action $P \curvearrowright \mathbb{N}$ with $(g, n) \mapsto g(n)$.

The **Bernoulli shift** of P is the induced action on $\mathbb{R}^{\mathbb{N}}$:

$$g \cdot (x_n : n \in \mathbb{N}) = (x_{g^{-1}(n)} : n \in \mathbb{N}).$$

Notation. We denote by $E(P)$ the orbit equivalence relation of $P \curvearrowright \mathbb{R}^{\mathbb{N}}$. We denote by $E_{\text{inj}}(P)$ the restriction of $E(P)$ to the P -invariant subset $\text{Inj}(\mathbb{N}, \mathbb{R})$ of $\mathbb{R}^{\mathbb{N}}$, consisting of all injective sequences.

Heuristic. $E_{\text{inj}}(P)$ remembers topological/dynamical properties of P .

Polish permutation groups

Let $\text{Sym}(\mathbb{N})$ be the Polish group of all bijections $g: \mathbb{N} \rightarrow \mathbb{N}$ endowed with the pointwise convergence topology.

A **Polish permutation group** P is any closed subgroup of $\text{Sym}(\mathbb{N})$. Such P comes together with an action $P \curvearrowright \mathbb{N}$ with $(g, n) \mapsto g(n)$.

The **Bernoulli shift** of P is the induced action on $\mathbb{R}^{\mathbb{N}}$:

$$g \cdot (x_n : n \in \mathbb{N}) = (x_{g^{-1}(n)} : n \in \mathbb{N}).$$

Notation. We denote by $E(P)$ the orbit equivalence relation of $P \curvearrowright \mathbb{R}^{\mathbb{N}}$. We denote by $E_{\text{inj}}(P)$ the restriction of $E(P)$ to the P -invariant subset $\text{Inj}(\mathbb{N}, \mathbb{R})$ of $\mathbb{R}^{\mathbb{N}}$, consisting of all injective sequences.

Heuristic. $E_{\text{inj}}(P)$ remembers topological/dynamical properties of P .

Theorem (Kechris, Malicki, P., Zielinski)

*If P is **not locally compact** then $E_{\text{inj}}(P)$ is not essentially countable. Similarly for when P is non-compact or non-CLI.*

Algebraic dimension

Algebraic dimension

Let P be a Polish permutation group.

For every $A \subseteq \mathbb{N}$ we have the **pointwise stabilizer**:

$$P_A := \{g \in P : g(a) = a \text{ for all } a \in A\}$$

Algebraic dimension

Let P be a Polish permutation group.

For every $A \subseteq \mathbb{N}$ we have the **pointwise stabilizer**:

$$P_A := \{g \in P : g(a) = a \text{ for all } a \in A\}$$

The **algebraic closure** of A with respect to P is the set $[A]_P \subseteq \mathbb{N}$ with:

$$[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$$

Algebraic dimension

Let P be a Polish permutation group.

For every $A \subseteq \mathbb{N}$ we have the **pointwise stabilizer**:

$$P_A := \{g \in P : g(a) = a \text{ for all } a \in A\}$$

The **algebraic closure** of A with respect to P is the set $[A]_P \subseteq \mathbb{N}$ with:

$$[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$$

The assignment $\mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ with $A \mapsto [A]_P$ is a **closure operator**:

- ① $A \subseteq [A]_P$;
- ② $A \subseteq B \implies [A]_P \subseteq [B]_P$;
- ③ $[[A]_P]_P = [A]_P$

Algebraic dimension

Let P be a Polish permutation group.

For every $A \subseteq \mathbb{N}$ we have the **pointwise stabilizer**:

$$P_A := \{g \in P : g(a) = a \text{ for all } a \in A\}$$

The **algebraic closure** of A with respect to P is the set $[A]_P \subseteq \mathbb{N}$ with:

$$[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$$

The assignment $\mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ with $A \mapsto [A]_P$ is a **closure operator**:

- ① $A \subseteq [A]_P$;
- ② $A \subseteq B \implies [A]_P \subseteq [B]_P$;
- ③ $[[A]_P]_P = [A]_P$

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, if such n exists. Otherwise, we write $\dim(P) = \infty$.

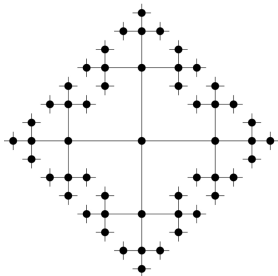
Permutation groups of finite algebraic dimension

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, where $[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$

Examples.

(1) Let T_4 be the infinite 4-regular tree:



Then $\dim(\text{Aut}(T_4)) = \dots$

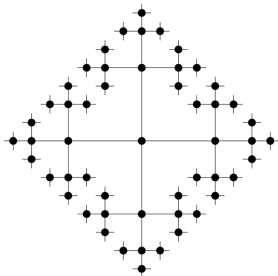
Permutation groups of finite algebraic dimension

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, where $[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$

Examples.

(1) Let T_4 be the infinite 4-regular tree:



Then $\dim(\text{Aut}(T_4)) = \dots = 1$

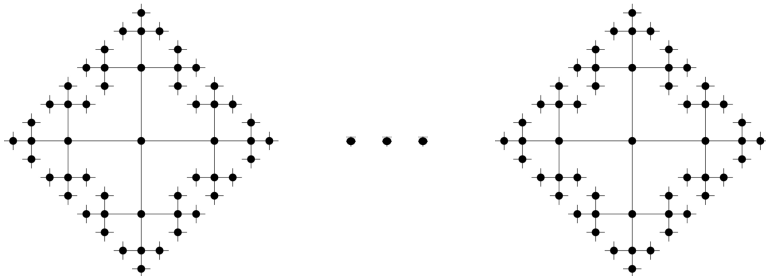
Permutation groups of finite algebraic dimension

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, where $[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$

Examples.

(2) Let $n \times T_4$ be the forest consisting of n -many infinite 4-regular trees:



Then $\dim(\text{Aut}(n \times T_4)) = \dots$

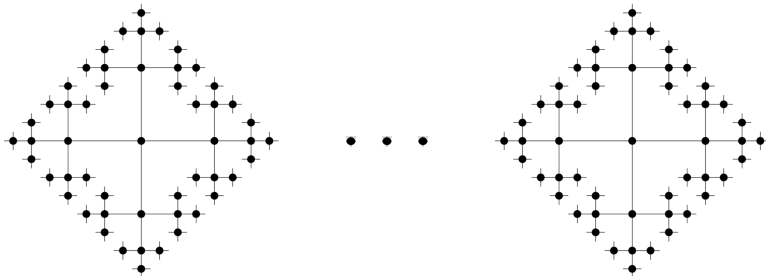
Permutation groups of finite algebraic dimension

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, where $[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$

Examples.

(2) Let $n \times T_4$ be the forest consisting of n -many infinite 4-regular trees:



Then $\dim(\text{Aut}(n \times T_4)) = \dots = n$

Permutation groups of finite algebraic dimension

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, where $[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$

Examples.

(3) Let \mathbb{Q}^n be the n -dimensional \mathbb{Q} -vector space, then $\dim(\text{Aut}(\mathbb{Q}^n)) = n$

Permutation groups of finite algebraic dimension

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, where $[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$

Examples.

(3) Let \mathbb{Q}^n be the n -dimensional \mathbb{Q} -vector space, then

$$\dim(\text{Aut}(\mathbb{Q}^n)) = n$$

Remark. In examples (1),(2),(3) the permutation group P happens to be locally-compact.

Permutation groups of finite algebraic dimension

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, where $[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$

Examples.

(3) Let \mathbb{Q}^n be the n -dimensional \mathbb{Q} -vector space, then

$$\dim(\text{Aut}(\mathbb{Q}^n)) = n$$

Remark. In examples (1),(2),(3) the permutation group P happens to be locally-compact.

This is a consequence of the fact that in all these examples the closure operator $A \mapsto [A]_P$ additionally satisfied the **exchange property**:

$$b \in [A \cup \{a\}]_P \setminus [A]_P \implies a \in [A \cup \{b\}]_P,$$

forming this way a *pre-geometry*.

Permutation groups of finite algebraic dimension

Definition

The **algebraic dimension** $\dim(P)$ of P is the smallest $n \in \mathbb{N}$ so that for all $A \subseteq \mathbb{N}$ with $|A| = n + 1$, there is $a \in A$ so that $a \in [A \setminus \{a\}]_P$, where $[A]_P := \{b \in \mathbb{N} : \text{the orbit } P_A \cdot b \text{ is finite}\}$

Examples.

(3) Let \mathbb{Q}^n be the n -dimensional \mathbb{Q} -vector space, then

$$\dim(\text{Aut}(\mathbb{Q}^n)) = n$$

Remark. In examples (1),(2),(3) the permutation group P happens to be locally-compact.

This is a consequence of the fact that in all these examples the closure operator $A \mapsto [A]_P$ additionally satisfied the **exchange property**:

$$b \in [A \cup \{a\}]_P \setminus [A]_P \implies a \in [A \cup \{b\}]_P,$$

forming this way a *pre-geometry*.

There exist **non-locally compact** P with $\dim(P) < \infty$.

Bernoulli shifts and algebraic dimension

Let Q be a Polish permutation group. Recall the orbit equivalence relation:

$E_{\text{inj}}(Q)$, induced on the injective part of the Bernoulli shift $Q \curvearrowright \text{Inj}(\mathbb{N}, \mathbb{R})$.

Question. How much does $E_{\text{inj}}(Q)$ *remember* of $\dim(Q)$?

Bernoulli shifts and algebraic dimension

Let Q be a Polish permutation group. Recall the orbit equivalence relation:

$E_{\text{inj}}(Q)$, induced on the injective part of the Bernoulli shift $Q \curvearrowright \text{Inj}(\mathbb{N}, \mathbb{R})$.

Question. How much does $E_{\text{inj}}(Q)$ *remember* of $\dim(Q)$?

Theorem (P., Shani)

Let P and Q be Polish permutation groups and let $n \in \mathbb{N}$. Assume that:

- ① $\dim(Q) \leq n$;
- ② P is **locally-finite** and $(n+1)$ -**free**.

Then, $E(P)$ is strongly ergodic against $E_{\text{inj}}(Q)$. So, $E_{\text{inj}}(P) \not\leq_B E_{\text{inj}}(Q)$

Bernoulli shifts and algebraic dimension

Let Q be a Polish permutation group. Recall the orbit equivalence relation:

$E_{\text{inj}}(Q)$, induced on the injective part of the Bernoulli shift $Q \curvearrowright \text{Inj}(\mathbb{N}, \mathbb{R})$.

Question. How much does $E_{\text{inj}}(Q)$ *remember* of $\dim(Q)$?

Theorem (P., Shani)

Let P and Q be Polish permutation groups and let $n \in \mathbb{N}$. Assume that:

- ① $\dim(Q) \leq n$;
- ② P is **locally-finite** and $(n+1)$ -**free**.

Then, $E(P)$ is strongly ergodic against $E_{\text{inj}}(Q)$. So, $E_{\text{inj}}(P) \not\leq_B E_{\text{inj}}(Q)$

- P is **locally-finite** if for all finite $A \subseteq \mathbb{N}$ we have that $[A]_P$ is finite.
- P is $(n+1)$ -**free** if for all finite $A \subseteq \mathbb{N}$ there are $g_0, g_1, \dots, g_n \in P$ so that for all $i \leq n$ we have that $[g_i A]_P$ and $[\bigcup_{j:j \neq i} A_j]_P$ are disjoint.

Some examples from Baldwin-Koerwien-Laskowski

$\mathcal{L}_2 = \{f_0, f_1, f_2, \dots\}$ consists of a sequence of **2**-ary function symbols.

Let \mathbb{M}_2 be the Fraïssé limit of the class \mathcal{K}_2 of all finite \mathcal{L} -structures \mathbb{A} s.t.

- ① for all a_0, a_1 in \mathbb{A} and cofinitely many $n \in \mathbb{N}$, $f_n(a_0, a_1) = a_0$.
- ② for all a_0, a_1, a_2 in \mathbb{A} there is $n \in \mathbb{N}$ and $i \in \{0, 1, 2\}$ so that a_i is the image of $\{a_0, a_1, a_2\} \setminus \{a_i\}$ under f_n .

Then $P_2 := \text{Aut}(\mathbb{M}_2)$ is **2-dimensional**, locally-finite, **2-free**.

Some examples from Baldwin-Koerwien-Laskowski

$\mathcal{L}_2 = \{f_0, f_1, f_2, \dots\}$ consists of a sequence of **2**-ary function symbols.

Let \mathbb{M}_2 be the Fraïssé limit of the class \mathcal{K}_2 of all finite \mathcal{L} -structures \mathbb{A} s.t.

- ① for all a_0, a_1 in \mathbb{A} and cofinitely many $n \in \mathbb{N}$, $f_n(a_0, a_1) = a_0$.
- ② for all a_0, a_1, a_2 in \mathbb{A} there is $n \in \mathbb{N}$ and $i \in \{0, 1, 2\}$ so that a_i is the image of $\{a_0, a_1, a_2\} \setminus \{a_i\}$ under f_n .

Then $P_2 := \text{Aut}(\mathbb{M}_2)$ is **2-dimensional**, locally-finite, **2-free**.

Similarly, for every $n \geq 2$ we have \mathcal{L}_n , consisting of n -ary functions, and the corresponding Fraïssé class \mathcal{K}_n whose Fraïssé limit satisfies:

Then $P_n := \text{Aut}(\mathbb{M}_n)$ is **n -dimensional**, locally-finite, **n -free**.

Some examples from Baldwin-Koerwien-Laskowski

$\mathcal{L}_2 = \{f_0, f_1, f_2, \dots\}$ consists of a sequence of **2**-ary function symbols.

Let \mathbb{M}_2 be the Fraïssé limit of the class \mathcal{K}_2 of all finite \mathcal{L} -structures \mathbb{A} s.t.

- ① for all a_0, a_1 in \mathbb{A} and cofinitely many $n \in \mathbb{N}$, $f_n(a_0, a_1) = a_0$.
- ② for all a_0, a_1, a_2 in \mathbb{A} there is $n \in \mathbb{N}$ and $i \in \{0, 1, 2\}$ so that a_i is the image of $\{a_0, a_1, a_2\} \setminus \{a_i\}$ under f_n .

Then $P_2 := \text{Aut}(\mathbb{M}_2)$ is **2-dimensional**, locally-finite, **2-free**.

Similarly, for every $n \geq 2$ we have \mathcal{L}_n , consisting of n -ary functions, and the corresponding Fraïssé class \mathcal{K}_n whose Fraïssé limit satisfies:

Then $P_n := \text{Aut}(\mathbb{M}_n)$ is **n -dimensional**, locally-finite, **n -free**.

Theorem. (Kruckman, P.) If $m \neq n$, then $E_{\text{inj}}(P_m)$ and $E_{\text{inj}}(P_n)$ are incomparable under $*$ -reductions.

Some examples from Baldwin-Koerwien-Laskowski

$\mathcal{L}_2 = \{f_0, f_1, f_2, \dots\}$ consists of a sequence of **2**-ary function symbols.

Let \mathbb{M}_2 be the Fraïssé limit of the class \mathcal{K}_2 of all finite \mathcal{L} -structures \mathbb{A} s.t.

- ① for all a_0, a_1 in \mathbb{A} and cofinitely many $n \in \mathbb{N}$, $f_n(a_0, a_1) = a_0$.
- ② for all a_0, a_1, a_2 in \mathbb{A} there is $n \in \mathbb{N}$ and $i \in \{0, 1, 2\}$ so that a_i is the image of $\{a_0, a_1, a_2\} \setminus \{a_i\}$ under f_n .

Then $P_2 := \text{Aut}(\mathbb{M}_2)$ is **2-dimensional**, locally-finite, **2-free**.

Similarly, for every $n \geq 2$ we have \mathcal{L}_n , consisting of n -ary functions, and the corresponding Fraïssé class \mathcal{K}_n whose Fraïssé limit satisfies:

Then $P_n := \text{Aut}(\mathbb{M}_n)$ is **n -dimensional**, locally-finite, **n -free**.

Theorem. (Kruckman, P.) If $m \neq n$, then $E_{\text{inj}}(P_m)$ and $E_{\text{inj}}(P_n)$ are incomparable under $*$ -reductions.

Corollary. (P., Shani) If $m \leq n$, then $E(P_n)$ is strongly ergodic w.r.t. $E_{\text{inj}}(P_m)$. In particular, we have that:

$$E_{\text{inj}}(P_2) \leq_B E_{\text{inj}}(P_3) \leq_B E_{\text{inj}}(P_4) \leq_B \dots$$

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$
- E is **pinned** if all E -pins are trivial.

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$
- E is **pinned** if all E -pins are trivial.

Example. Let $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ be the injective part of $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{R}^{\mathbb{N}}$:
 $(x_n : n \in \mathbb{N}) E_{\text{inj}}(\text{Sym}(\mathbb{N})) (y_n : n \in \mathbb{N}) \iff \{x_n : n \in \mathbb{N}\} = \{y_n : n \in \mathbb{N}\}$

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$
- E is **pinned** if all E -pins are trivial.

Example. Let $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ be the injective part of $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{R}^{\mathbb{N}}$:
 $(x_n : n \in \mathbb{N}) E_{\text{inj}}(\text{Sym}(\mathbb{N})) (y_n : n \in \mathbb{N}) \iff \{x_n : n \in \mathbb{N}\} = \{y_n : n \in \mathbb{N}\}$
Then $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ is **unpinned**! Take $\mathbb{P} := \text{Coll}(\mathbb{N}, \mathbb{R})$.

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$
- E is **pinned** if all E -pins are trivial.

Example. Let $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ be the injective part of $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{R}^{\mathbb{N}}$:
 $(x_n : n \in \mathbb{N}) E_{\text{inj}}(\text{Sym}(\mathbb{N})) (y_n : n \in \mathbb{N}) \iff \{x_n : n \in \mathbb{N}\} = \{y_n : n \in \mathbb{N}\}$
Then $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ is **unpinned**! Take $\mathbb{P} := \text{Coll}(\mathbb{N}, \mathbb{R})$.

Question. (Kechris) Is $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ the \leq_B -least unpinned E.R. ?

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$
- E is **pinned** if all E -pins are trivial.

Example. Let $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ be the injective part of $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{R}^{\mathbb{N}}$:
 $(x_n : n \in \mathbb{N}) E_{\text{inj}}(\text{Sym}(\mathbb{N})) (y_n : n \in \mathbb{N}) \iff \{x_n : n \in \mathbb{N}\} = \{y_n : n \in \mathbb{N}\}$
Then $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ is **unpinned**! Take $\mathbb{P} := \text{Coll}(\mathbb{N}, \mathbb{R})$.

Question. (Kechris) Is $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ the \leq_B -least unpinned E.R. ?

(Zapletal) Exhibited unpinned: $F_1 \leq_B F_2 \leq_B \overbrace{\dots}^{\aleph_1} \leq_B E_{\text{inj}}(\text{Sym}(\mathbb{N}))$

The proof uses the theory of pinned cardinality.

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$
- E is **pinned** if all E -pins are trivial.

Example. Let $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ be the injective part of $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{R}^{\mathbb{N}}$:
 $(x_n : n \in \mathbb{N}) E_{\text{inj}}(\text{Sym}(\mathbb{N})) (y_n : n \in \mathbb{N}) \iff \{x_n : n \in \mathbb{N}\} = \{y_n : n \in \mathbb{N}\}$
 Then $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ is **unpinned**! Take $\mathbb{P} := \text{Coll}(\mathbb{N}, \mathbb{R})$.

Question. (Kechris) Is $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ the \leq_B -least unpinned E.R. ?

(Zapletal) Exhibited unpinned: $F_1 \leq_B F_2 \leq_B \overbrace{\cdots}^{\aleph_1} \leq_B E_{\text{inj}}(\text{Sym}(\mathbb{N}))$

The proof uses the theory of pinned cardinality.

The minimum of the above sequence and the minimum of our sequence:
 $E_{\text{inj}}(P_2) \leq_B E_{\text{inj}}(P_3) \leq_B E_{\text{inj}}(P_4) \leq_B \cdots$ have pinned cardinality \aleph_1 .

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$
- E is **pinned** if all E -pins are trivial.

Example. Let $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ be the injective part of $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{R}^{\mathbb{N}}$:
 $(x_n : n \in \mathbb{N}) E_{\text{inj}}(\text{Sym}(\mathbb{N})) (y_n : n \in \mathbb{N}) \iff \{x_n : n \in \mathbb{N}\} = \{y_n : n \in \mathbb{N}\}$
 Then $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ is **unpinned**! Take $\mathbb{P} := \text{Coll}(\mathbb{N}, \mathbb{R})$.

Question. (Kechris) Is $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ the \leq_B -least unpinned E.R. ?

(Zapletal) Exhibited unpinned: $F_1 \leq_B F_2 \leq_B \overbrace{\cdots}^{\aleph_1} \leq_B E_{\text{inj}}(\text{Sym}(\mathbb{N}))$

The proof uses the theory of pinned cardinality.

The minimum of the above sequence and the minimum of our sequence:
 $E_{\text{inj}}(P_2) \leq_B E_{\text{inj}}(P_3) \leq_B E_{\text{inj}}(P_4) \leq_B \cdots$ have pinned cardinality \aleph_1 .

Corollary. (P., Shani) $E_{\text{inj}}(P_2) \leq_B F_1$.

Relationship with pinned cardinality

Let (X, E) be an equivalence relation, \mathbb{P} be a poset, and τ be a \mathbb{P} -name.

- (\mathbb{P}, τ) is an E -pin, if $\mathbb{P} \times \mathbb{P}$ forces that $\tau_l E \tau_r$.
- An E -pin (\mathbb{P}, τ) is trivial if there is $x \in X$ so that $\mathbb{P} \Vdash \check{x} E \tau$
- E is **pinned** if all E -pins are trivial.

Example. Let $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ be the injective part of $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{R}^{\mathbb{N}}$:
 $(x_n : n \in \mathbb{N}) E_{\text{inj}}(\text{Sym}(\mathbb{N})) (y_n : n \in \mathbb{N}) \iff \{x_n : n \in \mathbb{N}\} = \{y_n : n \in \mathbb{N}\}$
Then $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ is **unpinned**! Take $\mathbb{P} := \text{Coll}(\mathbb{N}, \mathbb{R})$.

Question. (Kechris) Is $E_{\text{inj}}(\text{Sym}(\mathbb{N}))$ the \leq_B -least unpinned E.R. ?

(Zapletal) Exhibited unpinned: $F_1 \leq_B F_2 \leq_B \overbrace{\cdots}^{\aleph_1} \leq_B E_{\text{inj}}(\text{Sym}(\mathbb{N}))$

The proof uses the theory of pinned cardinality.

The minimum of the above sequence and the minimum of our sequence:
 $E_{\text{inj}}(P_2) \leq_B E_{\text{inj}}(P_3) \leq_B E_{\text{inj}}(P_4) \leq_B \cdots$ have pinned cardinality \aleph_1 .

Corollary. (P., Shani) $E_{\text{inj}}(P_2) \leq_B F_1$.

Question. What about the converse? Is there a nice basis for the class of unpinned equivalence relations under Borel reductions?

Table of Contents

1 Some words on the proof

Main theorem

Theorem (P., Shani)

Let P and Q be Polish permutation groups and let $n \in \mathbb{N}$. Assume that:

- ① $\dim(Q) \leq n$;
- ② P is locally-finite and $(n+1)$ -free.

Then, $E(P)$ is strongly ergodic against $E_{\text{inj}}(Q)$. Hence, $E(P) \not\leq_B E_{\text{inj}}(Q)$

Main theorem

Theorem (P., Shani)

Let P and Q be Polish permutation groups and let $n \in \mathbb{N}$. Assume that:

- ① $\dim(Q) \leq n$;
- ② P is locally-finite and $(n+1)$ -free.

Then, $E(P)$ is strongly ergodic against $E_{\text{inj}}(Q)$. Hence, $E(P) \not\leq_B E_{\text{inj}}(Q)$

The proof employs/builds on **symmetric model techniques**.

The basic Cohen model

Recall Cohen's proof that $\text{ZF} + \neg\text{AC}$ is consistent.

The basic Cohen model

Recall Cohen's proof that $\text{ZF} + \neg\text{AC}$ is consistent.

Let \mathbb{P} be the forcing which adds a countable sequence of Cohen reals:

$$(x_n^G : n \in \mathbb{N})$$

The basic Cohen model

Recall Cohen's proof that $\text{ZF} + \neg\text{AC}$ is consistent.

Let \mathbb{P} be the forcing which adds a countable sequence of Cohen reals:

$$(x_n^G : n \in \mathbb{N})$$

Between V and $V[G]$ there is the intermediate “*symmetric model*”:

$$V(\{x_n^G : n \in \mathbb{N}\})$$

This can be defined in a number of equivalent ways:

- it consists of the realization of all **symmetric** names $(\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{P})$;
- it is the smallest ZF-extension of V in $V[G]$ containing $\{x_n^G : n \in \mathbb{N}\}$.

The basic Cohen model

Recall Cohen's proof that $\text{ZF} + \neg\text{AC}$ is consistent.

Let \mathbb{P} be the forcing which adds a countable sequence of Cohen reals:

$$(x_n^G : n \in \mathbb{N})$$

Between V and $V[G]$ there is the intermediate “*symmetric model*”:

$$V(\{x_n^G : n \in \mathbb{N}\})$$

This can be defined in a number of equivalent ways:

- it consists of the realization of all **symmetric** names $(\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{P})$;
- it is the smallest ZF-extension of V in $V[G]$ containing $\{x_n^G : n \in \mathbb{N}\}$.

Theorem. (Cohen) In $V(\{x_n^G\})$ there is no injection $\mathbb{N} \rightarrow \{x_n^G : n \in \mathbb{N}\}$

The basic Cohen model

Recall Cohen's proof that $\text{ZF} + \neg\text{AC}$ is consistent.

Let \mathbb{P} be the forcing which adds a countable sequence of Cohen reals:

$$(x_n^G : n \in \mathbb{N})$$

Between V and $V[G]$ there is the intermediate “*symmetric model*”:

$$V(\{x_n^G : n \in \mathbb{N}\})$$

This can be defined in a number of equivalent ways:

- it consists of the realization of all **symmetric** names $(\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{P})$;
- it is the smallest ZF-extension of V in $V[G]$ containing $\{x_n^G : n \in \mathbb{N}\}$.

Theorem. (Cohen) In $V(\{x_n^G\})$ there is no injection $\mathbb{N} \rightarrow \{x_n^G : n \in \mathbb{N}\}$

Lemma. (*Existence of supports*) For any $S \in V(\{x_n^G\})$ with $S \subseteq V$ there is a finite $F \subseteq \{x_n^G : n \in \mathbb{N}\}$ so that $S \in V[F]$.

Symmetric models from permutation groups

In the basic Cohen model the action $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{P}$ gave:

$$(x_n^G : n \in \mathbb{N}) \mapsto \{x_n^G : n \in \mathbb{N}\}$$

Symmetric models from permutation groups

In the basic Cohen model the action $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{P}$ gave:

$$(x_n^G : n \in \mathbb{N}) \mapsto \{x_n^G : n \in \mathbb{N}\}$$

If P is a Polish permutation group, then the Bernoulli shift action $P \curvearrowright \mathbb{R}^{\mathbb{N}}$ is essentially $P \curvearrowright \mathbb{P}$, and the generic $(x_n^G : n \in \mathbb{N})$ is injective.

Symmetric models from permutation groups

In the basic Cohen model the action $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{P}$ gave:

$$(x_n^G : n \in \mathbb{N}) \mapsto \{x_n^G : n \in \mathbb{N}\}$$

If P is a Polish permutation group, then the Bernoulli shift action $P \curvearrowright \mathbb{R}^{\mathbb{N}}$ is essentially $P \curvearrowright \mathbb{P}$, and the generic $(x_n^G : n \in \mathbb{N})$ is injective. But $P = \text{Aut}(\mathcal{N})$ for some countable structure \mathcal{N} on \mathbb{N} . We have

$$(x_n^G : n \in \mathbb{N}) \mapsto \mathcal{N}^G$$

where \mathcal{N}^G is the structure \mathcal{N} copied on $\{x_n^G : n \in \mathbb{N}\}$.

Symmetric models from permutation groups

In the basic Cohen model the action $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{P}$ gave:

$$(x_n^G : n \in \mathbb{N}) \mapsto \{x_n^G : n \in \mathbb{N}\}$$

If P is a Polish permutation group, then the Bernoulli shift action $P \curvearrowright \mathbb{R}^{\mathbb{N}}$ is essentially $P \curvearrowright \mathbb{P}$, and the generic $(x_n^G : n \in \mathbb{N})$ is injective. But $P = \text{Aut}(\mathcal{N})$ for some countable structure \mathcal{N} on \mathbb{N} . We have

$$(x_n^G : n \in \mathbb{N}) \mapsto \mathcal{N}^G$$

where \mathcal{N}^G is the structure \mathcal{N} copied on $\{x_n^G : n \in \mathbb{N}\}$.

We have the intermediate symmetric model $V \subseteq V(\mathcal{N}^G) \subseteq V[G]$:

- it consists of the realization of all **symmetric** names $(P \curvearrowright \mathbb{P})$;
- it is the smallest ZF-extension of V in $V[G]$ containing \mathcal{N}^G .

Symmetric models from permutation groups

In the basic Cohen model the action $\text{Sym}(\mathbb{N}) \curvearrowright \mathbb{P}$ gave:

$$(x_n^G : n \in \mathbb{N}) \mapsto \{x_n^G : n \in \mathbb{N}\}$$

If P is a Polish permutation group, then the Bernoulli shift action $P \curvearrowright \mathbb{R}^{\mathbb{N}}$ is essentially $P \curvearrowright \mathbb{P}$, and the generic $(x_n^G : n \in \mathbb{N})$ is injective. But $P = \text{Aut}(\mathcal{N})$ for some countable structure \mathcal{N} on \mathbb{N} . We have

$$(x_n^G : n \in \mathbb{N}) \mapsto \mathcal{N}^G$$

where \mathcal{N}^G is the structure \mathcal{N} copied on $\{x_n^G : n \in \mathbb{N}\}$.

We have the intermediate symmetric model $V \subseteq V(\mathcal{N}^G) \subseteq V[G]$:

- it consists of the realization of all **symmetric** names $(P \curvearrowright \mathbb{P})$;
- it is the smallest ZF-extension of V in $V[G]$ containing \mathcal{N}^G .

Lemma ((P., Shani) Existence of supports)

*If P is a **locally-finite** Polish permutation group, then for all $S \in V(\mathcal{N}^G)$ with $S \subseteq V$ there is a finite $F \subseteq \{x_n^G : n \in \mathbb{N}\}$ so that $S \in V[F]$.*

To conclude:

Theorem (Shani)

Suppose E and F are Borel equivalence relations on X and Y respectively and $x \mapsto \mathcal{N}^x$ and $y \mapsto \mathcal{M}^y$ be classifications by countable structures of E and F respectively. Then, the following are equivalent.

- ① *For every Borel homomorphism $f: (X_0, E) \rightarrow (Y, F)$, where $X_0 \subseteq X$ is non-meager, f maps a non-meager set into a single F -class;*
- ② *If $x \in X$ is Cohen-generic over V and \mathcal{M}^y is a potential F -invariant in $V(\mathcal{N}^x)$, definable from \mathcal{N}^x and parameters in V , then $B \in V$.*

To conclude:

Theorem (Shani)

Suppose E and F are Borel equivalence relations on X and Y respectively and $x \mapsto \mathcal{N}^x$ and $y \mapsto \mathcal{M}^y$ be classifications by countable structures of E and F respectively. Then, the following are equivalent.

- ① *For every Borel homomorphism $f: (X_0, E) \rightarrow (Y, F)$, where $X_0 \subseteq X$ is non-meager, f maps a non-meager set into a single F -class;*
- ② *If $x \in X$ is Cohen-generic over V and \mathcal{M}^y is a potential F -invariant in $V(\mathcal{N}^x)$, definable from \mathcal{N}^x and parameters in V , then $B \in V$.*

In the case of the Bernoulli shifts, we have that $P = \text{Aut}(\mathcal{N})$ and $Q = \text{Aut}(\mathcal{M})$ for countable structures \mathcal{M} and \mathcal{N} . So we have that:

$P \curvearrowright \text{Inj}(\mathbb{N}, \mathbb{R})$ is classified by $(x_n: n \in \mathbb{N}) \mapsto \text{“}\mathcal{N} \text{ on } \{x_n: n \in \mathbb{N}\}\text{”}$

$Q \curvearrowright \text{Inj}(\mathbb{N}, \mathbb{R})$ is classified by $(y_n: n \in \mathbb{N}) \mapsto \text{“}\mathcal{M} \text{ on } \{y_n: n \in \mathbb{N}\}\text{”}$

Thank you!